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U.S. PTO
**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **109140-0002**
First Inventor or Application Identifier **David Page et al.**
Title **Message-Based Referral Marketing**
Express Mail Label No. **EL056800086US**

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility application contents

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages **25**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) [Total Sheets **2**]
4. Oath or Declaration [Total Pages **6**]
- a. Newly executed (original copy)
- b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- Computer Readable Copy
 - Paper Copy (Identical to computer copy)
 - Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))
9. 37 C.F.R. §3.73(b)
10. Statement (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449
13. Copies of IDS Citations
14. Preliminary Amendment
15. Return Receipt Postcard (MPEP 503)
16. (Should be specifically itemized)
- *Small Entity
14. Statement(s) Statement filed in prior application, ((PTO/SB-09-12)) Status still proper and desired
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §1.27). EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment.

Continuation Divisional Continuation-in-part (CIP) of prior application No.: /

Prior application Information: Examiner

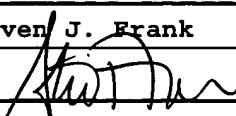
Group/Art Unit:

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Steven J. Frank				
Address	Cesari and McKenna 30 Rowes Wharf				
City	Boston	State	MA	Zip Code	02110
Country	U. S. A.	Telephone	(617) 951-2500		Fax (617) 951-3927

Name (Print/Type)	Steven J. Frank	Registration No. (Attorney/Agent)	33,497
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Signature		Date	March 6, 2000
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USPTO

03-07-00

A
PATENTS
109140-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)
David Page et al.)
Serial No.: Not yet assigned)
Filed: March 6, 2000)
For: Message-Based Referral Marketing)
)
)
)
)
Cesari and McKenna, LLP
30 Rowes Wharf
Boston, MA 02110
March 6, 2000

EXPRESS-MAIL DEPOSIT

“Express Mail” Mailing-Label Number: EL056800086US

The following papers are being deposited with the United States Postal Service
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

Patent Application (25 pages including 25 claims)
 Declaration and Power of Attorney
 Utility Patent Application Transmittal
Letter
 Informal Drawings (2 sheets)
 Information Disclosure Statement
 Check No. 3102 for \$40
 Fee Transmittal Letter
 Assignment With Recordation Form
Cover Sheet
 Check No. 3101 for \$429
 PTO-1449 and Copies of References
 Declaration by Assignee Claiming
Small Entity Status

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT **(\$)** **469**

Complete If Known

Application Number	Not yet assigned
Filing Date	March 6, 2000
First Named Inventor	David Page et al.
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	109140-0002

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit

Account Number

03-1237

Deposit

Account Name

Cesari and McKenna, LLP

Charge Any Additional Fee Charge the Issue Fee Set in
Required Under 37 C.F.R. §§1.18 at the Mailing of
37 C.F.R. §§1.16 and 1.17 the Notice of Allowance

2. Payment Enclosed:

Check Money Other
Order

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Fee Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English Specification
147	2,520	147	2,520 For filing a request for reexamination
112	920	112	920* Requesting publication of SIR prior to Examiner action
113	1,840	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	950	217	475 Extension for reply within third month
118	1,510	218	755 Extension for reply within fourth month
128	2,060	128	1,030 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,320	241	660 Petition to revive - unintentional
142	1,320	242	660 Utility Issue fee (or reissue)
143	450	243	225 Design Issue fee
144	670	244	335 Plant Issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	790	246	395 Filing a submission after final rejection (37 CFR 1.129(a))
149	790	249	395 For each additional invention to be examined (37 CFR 1.129(b))
Other (specify)			
Other fee (specify)			
SUBTOTAL (3) (\$)			40

*Reduced by Basic Filing Fee Paid

2. EXTRA CLAIM FEES

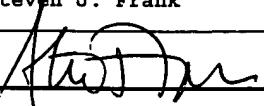
		Extra Claims	Fee from below	Fee Paid
Total Claims	25	- 20**	= 5 x 9 =	45
Independent Claims	4	- 3**	= 1 x 39 =	39
Multiple Dependent				0

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	22	203
102	82	202
104	270	204
109	82	209
110	22	210
		11 Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		
84		

SUBMITTED BY

Typed or Printed Name	Steven J. Frank	Reg. Number	33,497
Signature		Date	March 6, 2000